

# inApp Products

## Use Case 1 - Product placement across jurisdictions



### ► SCENARIO

Helen, a Portfolio Manager at a Swiss bank, manages clients domiciled in three distinct jurisdictions: Luxembourg, Germany, and Austria. She seeks to determine whether a specific list of securities can be placed in each of these markets and, if permitted, under what regulatory conditions, including applicable rules, restrictions, private placement exemptions, and mandatory documentation.

### ► CHALLENGES

Helen needs to navigate complex, varying regulations across multiple jurisdictions to ensure compliance and avoid legal risks.

#### MANUAL PROCESS

- ✗ Manually access and review the regulatory manuals for each jurisdiction.
- ✗ Identify the applicable rules, restrictions, and private placement exemptions for each security in each market.
- ✗ Manually analyze, compile and organize her findings for decision-making.



Several days, based on the complexity and number of involved jurisdictions and securities.

#### WITH INDIGITA

- ✓ Quick access to product placement and distribution rules, including mandatory documentation and private placement exemptions.
- ✓ Cross-country comparison in a simple and digital way.
- ✓ Focus on optimizing portfolios and avoid compliance breaches.



A few seconds

### ► KEY ADVANTAGES OF INAPP PRODUCTS

- ✓ Provides precise, real-time regulatory insights for over 120 countries, minimizing errors.
- ✓ Evaluates rules, restrictions, exemptions, and documentation requirements across multiple jurisdictions.
- ✓ Frees up time for portfolio managers to focus on strategy and client engagement.
- ✓ Helps ensure compliance, reducing the likelihood of legal or regulatory penalties.

### INTERESTED?

Contact us at [info@indigita.ch](mailto:info@indigita.ch)  
Or, directly book a demo via QR code:



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